

**SAMPLE FORM V**

**CERTIFICATE OF INTERESTED ENTITIES OR  
PERSONS**

## **CERTIFICATE OF INTERESTED ENTITIES OR PERSONS**

A Certificate of Interested Entities or Persons is a form that each party must prepare in order to identify for the Court of Appeal other entities and/or persons that the party knows has a financial or other interest in the outcome of their proceeding. (CRC rule 8.208(a).)

Each party must serve and file a Certificate of Interested Entities or Persons at the time it files its first document in the Court of Appeal and also include a copy of the form in its principle brief after the cover and before the table of contents. (CRC rule 8.208(c)(1).)

### **Filling out the Certificate of Interested Entities or Persons form:**

- (1) Case name.
- (2) Court of Appeal case number.
- (3) Check whether you are filling out the Initial Certificate or a Supplemental Certificate.
- (4) Fill in the full name of *each* interested person or entity.
- (5) Check the box that applies if the interested person or entity is a party or non-party.
- (6) Explain the nature of the interested person or entity.
- (7) Fill out your name, address, city, state, zip, telephone number and date the form.
- (8) Have someone over the age of 18 who is not a party to the action serve the Certificate of Interested Entities or Persons and fill out the Proof of Service form. See instructions accompanying [Sample Form C](#).

**IN THE COURT OF APPEAL OF THE STATE OF CALIFORNIA**  
**FOURTH APPELLATE DISTRICT, DIVISION ONE**

(1) Case Name: \_\_\_\_\_ (2) Court of Appeal No: \_\_\_\_\_

**CERTIFICATE OF INTERESTED ENTITIES OR PERSONS**  
**(Cal. Rules of Court, rules 8.208, 8.490(i), 8.494(c), 8.496(c), 8.498(d))**

Use this form for the initial certificate when you file your first document in the Court of Appeal in civil appeals and writs, and for supplemental certificates when you learn of changed or additional information that must be disclosed. Also include a copy of the certificate in your principal brief after the cover and before the tables. If no entity or person is known that must be listed under rule 8.208(d), write "NONE".

(3) (Check One) **INITIAL CERTIFICATE** ☐ **SUPPLEMENTAL CERTIFICATE** ☐

Full Name of Interested Person / Entity	Party	Non-Party	Nature of Interest
	(Check One)		(Explain)
(4) _____	(5) <input type="checkbox"/>	<input type="checkbox"/>	(6) _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	<input type="checkbox"/>	<input type="checkbox"/>	_____

The undersigned certifies that the above listed persons or entities (corporations, partnerships, firms or any other association, but not including government entities or their agencies), have either (i) an ownership interest of 10 percent or more in the party if an entity; or (ii) a financial or other interest in

the outcome of the proceeding that the justices should consider in determining whether to disqualify themselves, as defined in rule 8.208(d)(2).

Attorney or Party Submitting Form

Party Represented

(7) \_\_\_\_\_

(Name)

\_\_\_\_\_  
(Name)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City/State/Zip)

(\_\_\_\_\_) \_\_\_\_\_

(Telephone Number / E-mail address)

\_\_\_\_\_  
(Signature of Attorney or Party Submitting Form)

\_\_\_\_\_  
(Date)

**File:** Original

Provide an extra copy to be file-stamped  
for your file.

**Serve:** All counsel  
All self-represented parties